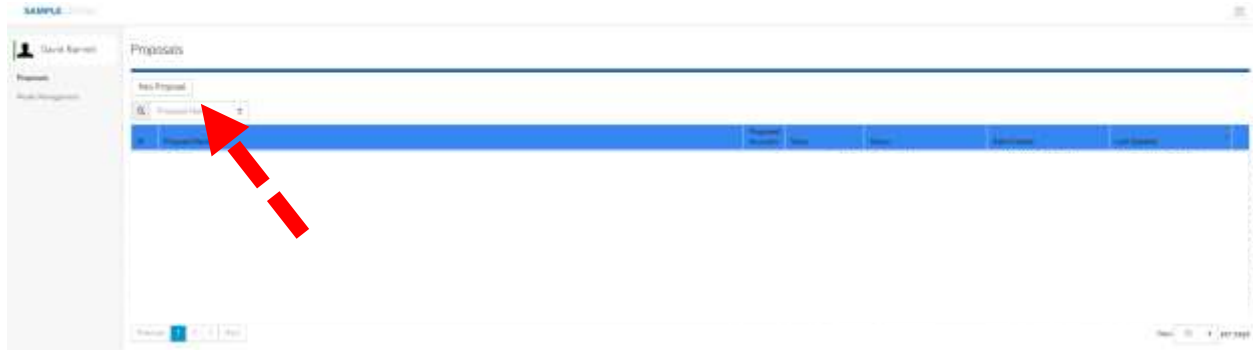




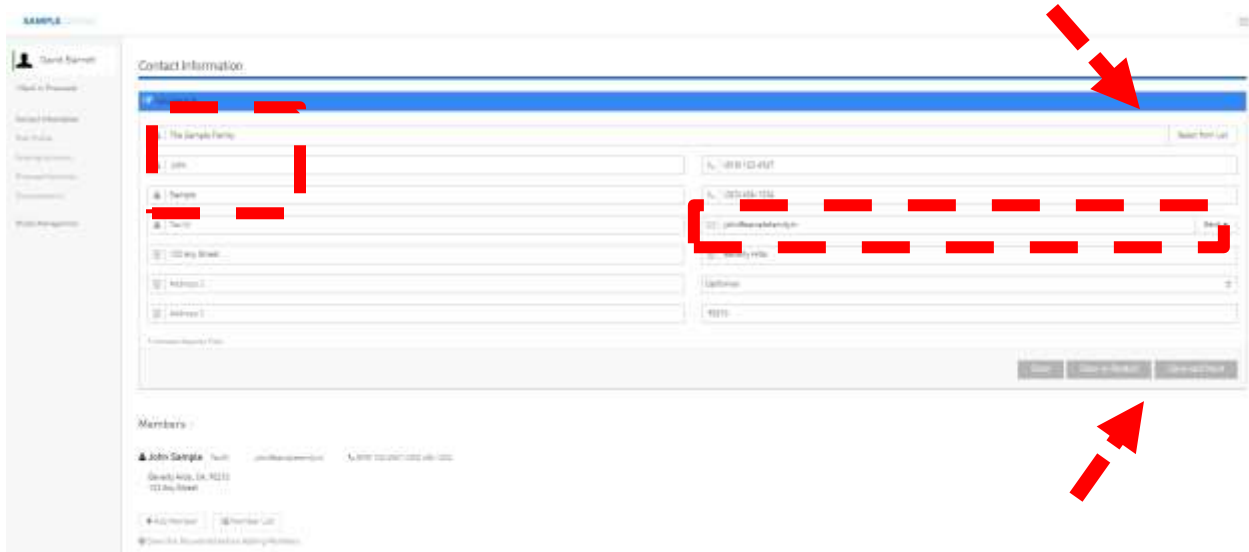
A D V I S O R Y W O R L D

Advisor Proposal Generator

Getting Started



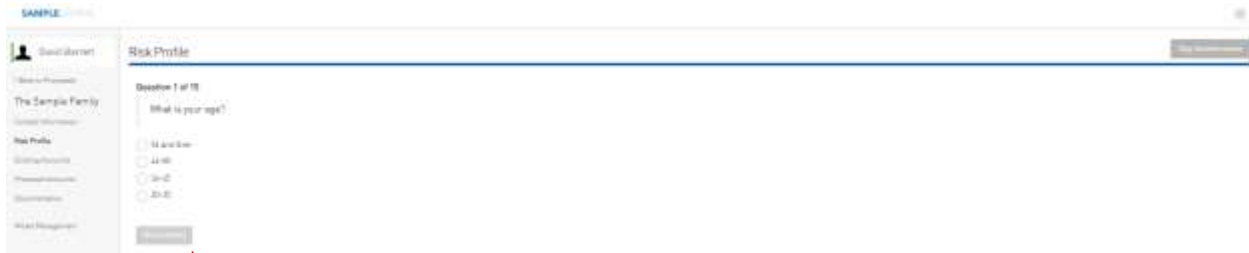
After logging in, Press the New Proposal button



Either press the Select from list button to choose a previously entered Household or enter information regarding the Household and its Primary Member including the Household Name and the Primary Member's First and Last Names. If a Primary Member's email address is entered, users have the option to send the Risk Profile Questionnaire directly to the Primary Member for completion.

Press Save & Next

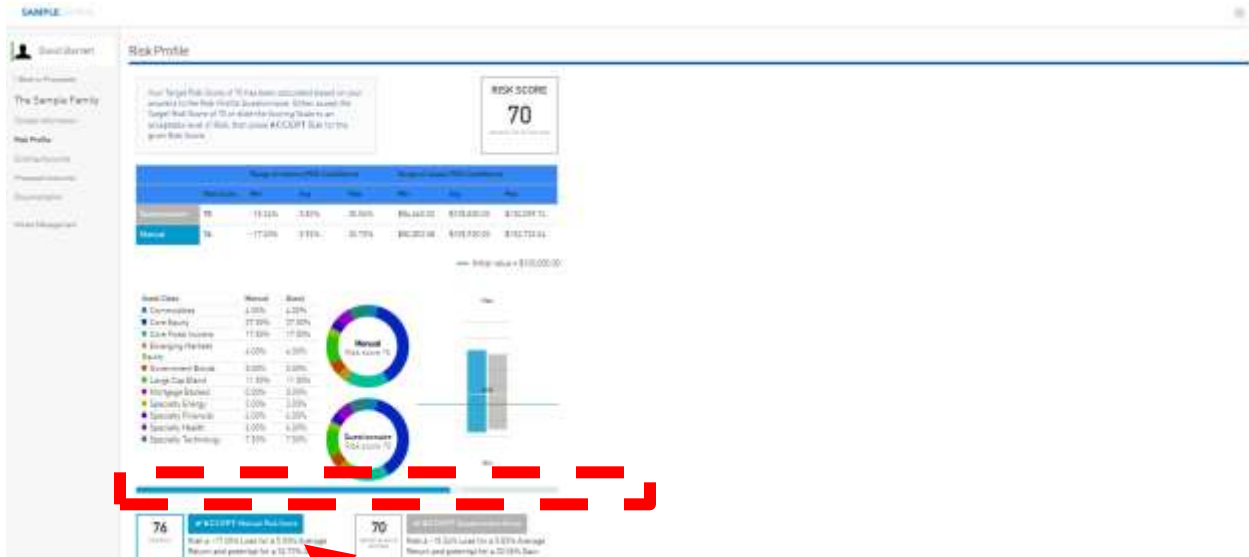
Enter an answer for each of the Risk Profile Questionnaire questions and press Next question.



After answering the final question, press Submit result.



Press ACCEPT Questionnaire Score to choose the Objective and Score associated with the answers selected on the Risk Profile Questionnaire.



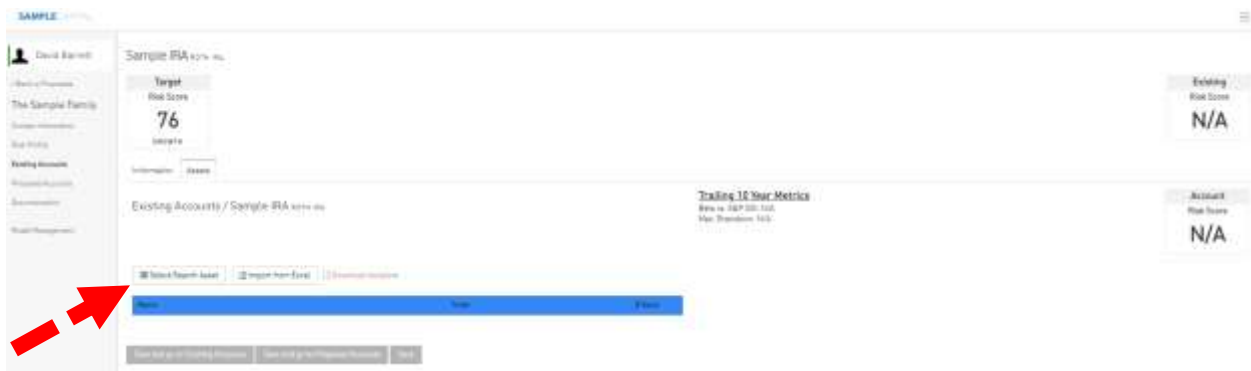
Alternatively slide left and right on the Risk Profile Questionnaire slider to find a level of Risk that your client finds appropriate for the amount of return that the investor is looking to achieve. Press **ACCEPT** Manual Score.



Users can optionally enter or import existing account information for comparative purposes. To enter an Existing Account, click on Existing Accounts then press the **+Analyze Existing Account** button.



Choose an Account Owner and Registration Type, optionally enter an Account Name then click Next.



Press Select/Search Asset

Q Investment Search ×


Investment Type Filter

- Mutual Funds
- SMAs
- ETFs
- Closed End Funds
- Stocks

Q ABNDX,AMCPX Sort by Relevance Ticker Name

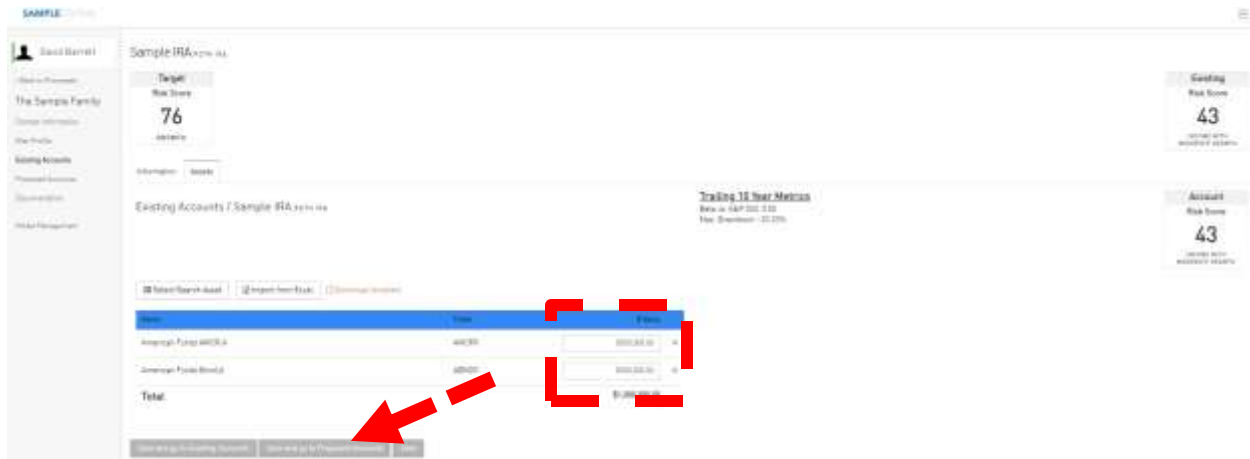
Name	Ticker	Asset Subcategory	Type	Platform
American Funds AMCP,A	AMCPX	Core Equity	MF	
American Funds Bond,A	ABNDX	Core Fixed Income	MF	

Previous 1 Next

 Add Close

Search by ticker and/or name. Multiple tickers can be entered if separated by commas.

Click on one or many Assets to be added then press Add.



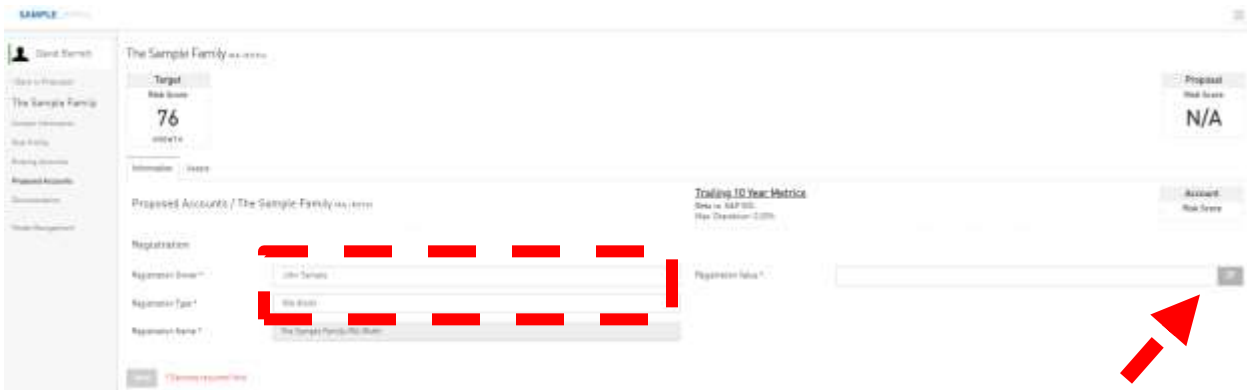
The screenshot displays the 'Sample IRA' configuration page. At the top, the 'Target Risk Score' is set to 76. Below this, there are sections for 'Existing Accounts / Sample IRA Assets' and 'Trading 15 Year Metrics'. A table lists investments with columns for 'Name', 'Value', and 'Risk'. The table contains two rows: 'American Funds AMFBL' and 'American Funds Bond'. A red arrow points to the 'Value' column, and a red dashed box highlights the input fields for each investment. At the bottom of the table, there are buttons for 'Save' and 'Cancel'.

Name	Value	Risk
American Funds AMFBL	100,000.00	43
American Funds Bond	100,000.00	43
Total	200,000.00	

Enter the dollar amount to be associated with each investment. Optionally users can choose to import tickers and values from a CSV file. Once completed press Save and go to Proposed Accounts.



Press Add Proposed Account



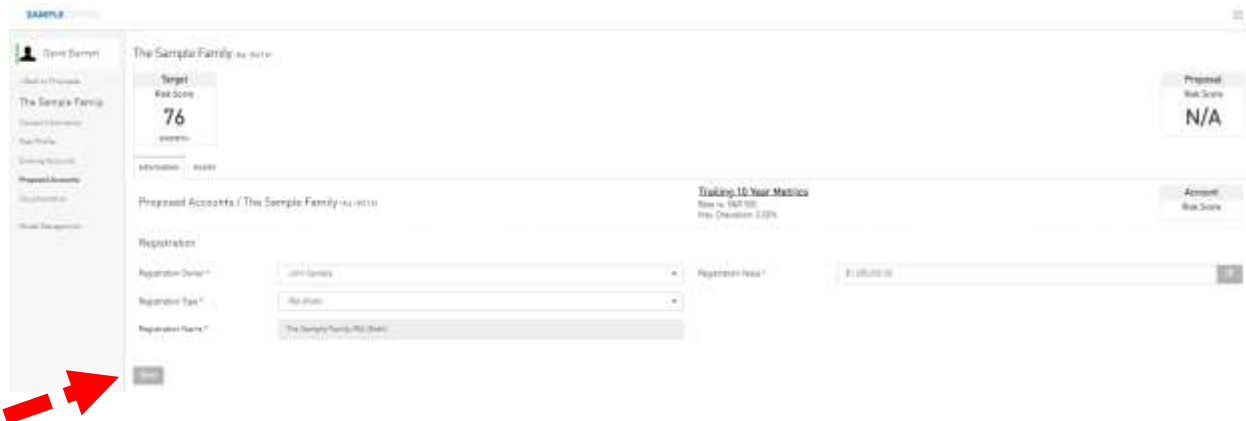
Choose an Account Owner and Registration Type then click on the Registration Value icon.

Existing Account Assignment x

Cash Contribution

Account Id	Account Name	Account Type	Account Number	Account Value	Assignment Contribution	Remaining Value
20250	John Sample	Roth IRA		\$1,000,000.00	<input type="text" value="\$1,000,000.00"/>	\$0.00
Total account value					\$1,000,000.00	

Enter the amount of Cash that is to be used as a source for this Proposed Account and/or Assign the amount of dollars to be sourced from any existing accounts. Press Apply.



Press Next on the Information tab to allocate the Proposed Account Assets.



The screenshot shows the 'Advisor Proposal Generator' interface for 'The Sample Family'. The main content area includes a 'Target Risk Score' of 76, a 'Proposed Risk Score' of N/A, and a 'Trailing 12-Month Metrics' section. The 'Proposed Accounts' section is highlighted, and a red arrow points to the 'Load Model' button.

Press Load Model to select a pre-defined Model Allocation

Load Model

Investment Objective Filter

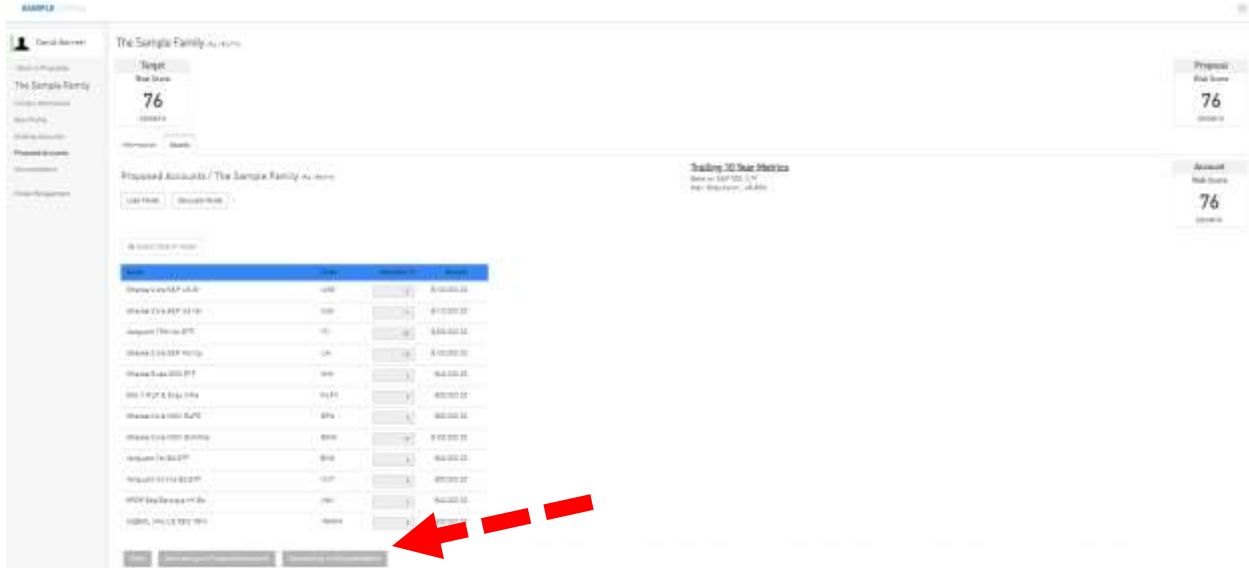
- Income with Capital Preservation
- Income with Moderate Growth
- Growth with Income
- Growth
- Aggressive Growth

Model Owner

- LPL Financial
- David Barrett

Model Name	Model Objective	Risk Score	Date Created	Last updated
	Growth	83	Jun 20, 2017 8:13:15 PM	Oct 2, 2017 1:01:45 PM
	Growth with Income	68	Jun 20, 2017 8:13:15 PM	Oct 2, 2017 1:01:45 PM
	Income with Moderate Growth	50	Jun 20, 2017 8:13:15 PM	Oct 2, 2017 1:01:45 PM
	Income with Capital Preservation	10	Jun 20, 2017 8:13:15 PM	Oct 2, 2017 1:01:45 PM
	Income with Capital Preservation	23	Jun 20, 2017 8:13:15 PM	Oct 2, 2017 1:01:45 PM
	Growth	84	Jun 20, 2017 8:13:15 PM	Oct 2, 2017 1:01:45 PM
	Growth	83	Jun 20, 2017 8:13:15 PM	Oct 2, 2017 1:01:45 PM
	Growth	76	Jun 20, 2017 8:13:15 PM	Oct 2, 2017 1:01:45 PM
	Growth with Income	68	Jun 20, 2017 8:13:15 PM	Oct 2, 2017 1:01:45 PM
	Growth with Income	59	Jun 20, 2017 8:13:15 PM	Oct 2, 2017 1:01:45 PM

Select a Model then press Load.



Press Save and go to Documentation



Alternatively, users can create custom asset allocations on-the-fly.

From an empty Proposed Account Assets page, Press Select/Search Asset

Q Investment Search
✕

Risk score Filter

1 - 20

21 - 40

41 - 60

61 - 80

81 - 100

Investment Type Filter

Mutual Funds

SMAs

ETFs

Closed End Funds

Stocks

Sort by Relevance

Ticker
 Name

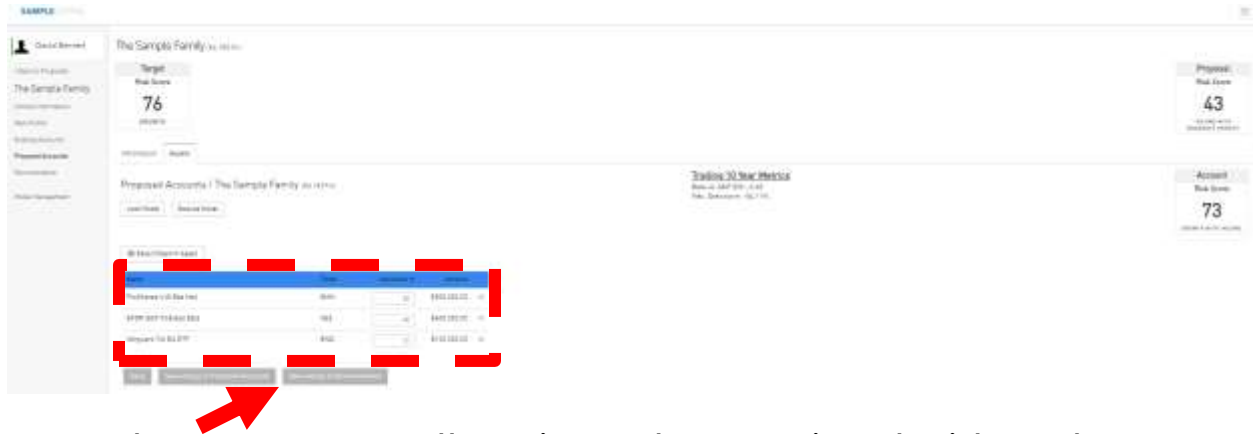
Type	Investment	Platform	Ticker	Asset Subcategory	Risk Score	Fact Sheet
ETF	iShares:MBS ETF		IMB	Mortgage Backed	4	Fact Sheet
ETF	Vanguard Tot Bd:ETF		BND	Core Fixed Income	5	Fact Sheet
ETF	iShares:Core US Agg Bd		AGG	Core Fixed Income	6	Fact Sheet
ETF	Vanguard ST Corp Bd:ETF		VCSH	Short Duration Investor Grade	7	Fact Sheet
ETF	iShares:Core Tot USD Bd		IUSB	Core Fixed Income	8	Fact Sheet
ETF	Invesco Txb Muni Bd		BAB	Core Fixed Income	10	Fact Sheet
ETF	iShares:Natl Muni Bond		MUB	Intermediate Duration Municipal Bonds	13	Fact Sheet
ETF	VnEck Votrs:AMT-Fr IM Ix		ITM	Intermediate Duration Municipal Bonds	13	Fact Sheet
ETF	SPDR Bbg Barc 1-3Mo Tbill		BIL	Short Duration Treasuries	13	Fact Sheet
ETF	SPDR Nuv Bbg Barc Muni		TFI	Intermediate Duration Municipal Bonds	14	Fact Sheet

Previous
1
2
3
4
5
...
Next

➔
Add
Close

Select various criteria to limit the investments which display in the list. Search by ticker and/or name.

Select the Assets to be added then press Add.



Enter the Percentage Allocation to be associated with each investment. Once 100% of the assets have been allocated press Save to save and continue working, Save & go to Proposed Accounts to save and go back to the Proposed Account List or Save and go to Documentation to save and go the Documentation page for generation of output.

SAMPLE CAPITAL

David Barrett

- Back to Proposal
- The Sample Family
- Contact Information
- Risk Profile
- Existing Accounts
- Proposed Accounts
- Documentation**
- Asset Management

Documentation

Proposal


Proposed Account:
Household

Build Report

Investment Policy Statement

Proposed Account:
Household

Build Report



Select whether you'd like to generate a Proposal or Investment Policy Statement at the Household or Individual Proposed Account level then simply press Build Report.